

**FILED**

DISTRICT COURT OF GUAM

APR 1-8 2005

MARY L.M. MORAN  
CLERK OF COURT

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**IN THE UNITED STATES DISTRICT COURT  
FOR THE TERRITORY OF GUAM**

UNITED STATES OF AMERICA, )  
 )  
Plaintiff, )  
 )  
vs. )  
 )  
YU MAN YEN, )  
aka KEE SANG JOFFRE CHEN, )  
aka TAI FAT LEE, )  
 )  
Defendant. )

CRIMINAL CASE NO. 05-00005-001

**SECOND AMENDED PLEA  
AGREEMENT**

Pursuant to Rule 11(c)(1)(B), the United States and the defendant, YU MAN YEN, aka KEE SANG JOFFRE CHEN, aka TAI FAT LEE, enter into the following plea agreement:

1. The defendant agrees to enter a guilty plea to Count 1 of the Indictment charging him with Possession of Fifteen or More Counterfeit or Unauthorized Access Devices and Aiding and Abetting, in violation of Title 18 U.S.C. §§ 1029(a)(3) and 2.

2. The defendant, YU MAN YEN, aka KEE SANG JOFFRE CHEN, aka TAI FAT LEE, further agrees to fully and truthfully cooperate with Federal law enforcement agents concerning

1 their investigation of the manufacture of counterfeit credit cards, the theft of cardholder  
2 information, the distribution of such counterfeit cards and all unlawful activities related to the  
3 trafficking in and use of counterfeit and stolen credit cards. He agrees to testify fully and  
4 truthfully before any grand juries and at any trials or proceedings if called as a witness, subject to  
5 prosecution for perjury for not testifying truthfully. The United States will make this cooperation  
6 known to the Court prior to the defendant's sentencing. The defendant further understands that  
7 he remains liable and subject to prosecution for any criminal schemes of which he does not fully  
8 advise the United States, or for any material omissions in this regard. In return for this  
9 cooperation, the United States agrees not to prosecute defendant for offenses now known to the  
10 government, or which he reveals to Federal authorities.

11 3. The defendant, YU MAN YEN, aka KEE SANG JOFFRE CHEN, aka TAI FAT LEE,  
12 further agrees to submit to a polygraph examination by any qualified Federal polygraph  
13 examiner. The defendant understands that such polygraph examinations may include, but will  
14 not be limited to, his knowledge of or involvement in counterfeit and stolen credit card activities,  
15 his knowledge of others' involvement in such activities, and the identification of any and all  
16 assets and conveyances acquired in whole or in part by the defendant or others through such  
17 activities. Defendant understands that the government will rely on the polygraph in assessing  
18 whether he has been fully truthful.

19 4. The defendant, YU MAN YEN, aka LEE SANG JOFFRE CHEN, aka TAI FAT LEE,  
20 understands that the maximum sentence for Possession of Fifteen or More Counterfeit or  
21 Unauthorized Access Devices and Aiding and Abetting, in violation of Title 18 U.S.C. §§  
22 1029(a)(3) and 2 is ten (10) years incarceration, a \$250,000 fine and a \$100 special assessment  
23 fee, which must be paid at the time of sentencing. Any sentence imposed may include a term of  
24 supervised release of up to three (3) years in addition to such terms of imprisonment. Defendant  
25 understands that, if at any time while he is on supervised release he violates one of its conditions,  
26 his supervised release may be revoked and he may be subject to an additional two year term of  
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1 imprisonment. If defendant cooperates as set forth in Paragraphs 2 and 3, the government will  
2 recommend that defendant receive the minimum term of incarceration recommended by the  
3 Sentencing Guidelines. If defendant does not fully cooperate as set forth in Paragraphs 2 and 3,  
4 the government will recommend whatever sentence of incarceration within the Guidelines range  
5 it may deem appropriate.

6 The government will recommend a fine within the Sentencing Guidelines range. If  
7 defendant is financially unable to immediately pay the fine in full, defendant agrees to make a  
8 full disclosure of his financial status to the United States Attorney's Office by completing a  
9 Financial Disclosure Form (OBD-500) for purpose of fixing a monthly payment schedule.  
10 Defendant understands that, by law, interest accrues on any remaining balance of the debt.

11 5. The defendant understands that to establish a violation of Possession of Fifteen or  
12 More Counterfeit or Unauthorized Access Devices and Aiding and Abetting, in violation of Title  
13 18 U.S.C. §§ 1029(a)(3) and 2, the government must prove each of the following elements  
14 beyond a reasonable doubt:

15 First: the defendant knowingly possessed at least fifteen  
16 counterfeit access devices at the same time;

17 Second: the defendant knew that the devices were counterfeit

18 Third: the defendant acted with the intent to defraud;

19 Fourth: the defendant's conduct in some way affected commerce  
20 between the United States and a foreign country.

21 6. To prove the defendant guilty of aiding and abetting, the government must prove each  
22 of the following elements beyond a reasonable doubt:

23 First: The offense of Possession of Fifteen or More Counterfeit or  
24 Unauthorized Access Devices was committed by someone;

25 Second: The defendant knowingly and intentionally aided, counseled,  
26 commanded, induced or procured that person to commit the offense  
27 of Possession of Fifteen or More Counterfeit or Unauthorized  
28 Access Devices; and

Third: The defendant acted before the crime was completed.

1           7. The defendant understands that the United States Probation Office will calculate a  
2 "sentencing range" with the United States Sentencing Guidelines. The defendant understands the  
3 Sentencing Guidelines are advisory, but will be used by the District Court in fashioning the  
4 sentence. The defendant also understands that the facts he stipulates to herein will be used by  
5 probation, pursuant to §1B1.2 of the Sentencing Guidelines, in calculating the advisory  
6 guidelines level.

7           Further, if the defendant cooperates with the United States by providing information  
8 concerning the unlawful activities of others, the government agrees that any self-incriminating  
9 information so provided will not be used against defendant in assessing his punishment, and  
10 therefore, pursuant to § 1B1.8(a) of the Sentencing Guidelines, this information should not be  
11 used in determining the advisory guidelines range.

12           The defendant understands that notwithstanding any agreement of the parties, the United  
13 States Probation Office will make an independent application of the Sentencing Guidelines. The  
14 defendant acknowledges that should there be discrepancies in the final sentencing guideline's  
15 range projected by his counsel or any other person, such discrepancy is not a basis to withdraw  
16 his guilty plea. Pursuant to Federal Rule of Criminal Procedure Rule 11(e)(2), the defendant also  
17 understands that if the court does not accept the sentencing recommendation made by the United  
18 States to the court pursuant to Rule 11(e)(1)(B), the defendant nevertheless has no right to  
19 withdraw his guilty plea.

20           8. The government and the defendant stipulate and agree to the following facts for  
21 purposes of the Sentencing Guidelines and that the Sentencing Guidelines range shall be  
22 determined solely from the facts set forth herein, to wit:

23                 a. The defendant, YU MAN YEN, was born in 1949, and is a citizen of Hong  
24 Kong.

25                 b. On January 25, 2005, the defendant, YU MAN YEN, traveled together and in  
26 association with THIN LOO YAT and JAE HEE KIM from Manila, Republic of the Philippines,  
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1 to Guam, U.S.A., with credit cards, which they knew to be counterfeit, and which they had  
2 acquired together in the Philippines.

3 c. The defendant, YU MAN YEN, THIN LOO YAT and JAE HEE KIM intended  
4 to use the counterfeit credit cards to purchase items in Guam and to later provide these items to  
5 the individuals who had given the credit cards to the defendant, YU MAN YEN, THIN LOO  
6 YAT and JAE HEE KIM. In exchange, the defendant, YU MAN YEN, THIN LOO YAT and  
7 JAE HEE KIM were to receive a percentage of the value of the items purchased with the  
8 counterfeit credit cards.

9 d. At the time their arrests, the defendant, YU MAN YEN possessed 37  
10 counterfeit credit cards, THIN LOO YAT possessed 39 counterfeit credit cards, and JAE HEE  
11 KIM possessed 45 counterfeit credit cards.

12 9. The defendant understands that this plea agreement depends on the fullness and  
13 truthfulness of his cooperation. Therefore, defendant understands and agrees that if he should  
14 fail to fulfill completely each and every one of his obligations under this plea agreement, or make  
15 material omissions or intentional misstatements or engage in criminal conduct after the entry of  
16 his plea agreement and before sentencing, the government will be free from its obligations under  
17 the plea agreement; thus defendant, in addition to standing guilty of the matters to which he has  
18 pled pursuant to this agreement, shall also be fully subject to criminal prosecution for other  
19 crimes, and for the counts which were to be dismissed. In any such prosecution, the prosecuting  
20 authorities, whether Federal, State, or Local, shall be free to use against him, without limitation,  
21 any and all information, in whatever form, that he has provided pursuant to this plea agreement  
22 or otherwise; defendant shall not assert any claim under the United States Constitution, any  
23 statute, Rule 11(f) of the Federal Rules of Criminal Procedure, Rule 410 of the Federal Rules of  
24 Evidence, or any other provision of law, to attempt to bar such use of the information.

25 10. The defendant understands that his sentencing may be continued, at the sole  
26 discretion of the United States, until after the indictment and trial of any associates involved.

1 This will also enable the Court to see the full degree of the defendant's cooperation. The  
2 defendant therefore waives any right he may have to any speedy sentencing and hereby agrees to  
3 any continuance of his sentencing date as it may become necessary.

4 11. The defendant waives any right to appeal or to collaterally attack this conviction but  
5 reserves the right to appeal the sentence imposed in this case. If at any time defendant's guilty  
6 plea is rejected, withdrawn, vacated or reversed, the United States will be free to prosecute  
7 defendant for all charges of which it presently has knowledge, and any charges that have been  
8 dismissed will automatically be reinstated or may be presented to a grand jury with jurisdiction  
9 over the matter. In such event, defendant waives any objections, motions or defenses based upon  
10 the Statute of Limitations, Speedy Trial Act, or constitutional restrictions as to the time of  
11 bringing such charges.

12 12. The defendant acknowledges that he has been advised of his rights as set forth below  
13 prior to entering into this plea agreement. Specifically, defendant has been fully advised of, has  
14 had sufficient opportunity to reflect upon, and understands the following:

15 a. The nature and elements of the charge and the mandatory minimum penalty  
16 provided by law, if any, and the maximum possible penalty provided by law;

17 b. His right to be represented by an attorney;

18 c. His right to plead not guilty and the right to be tried by a jury and at that trial,  
19 the right to be represented by counsel, the right to confront and cross-examine witnesses against  
20 him, and the right not to be compelled to incriminate himself, that is, the right not to testify;

21 d. That if he pleads guilty, there will not be a further trial of any kind on the  
22 charges to which such plea is entered so that by entering into this plea agreement, he waives, that  
23 is, gives up, the right to a trial;

24 e. That, upon entry of a plea of guilty, or thereafter, the Court may ask his  
25 questions about the offenses to which he has pled, under oath, and that if he answers these  
26 questions under oath, on the record, his answers may later be used against him in prosecution for  
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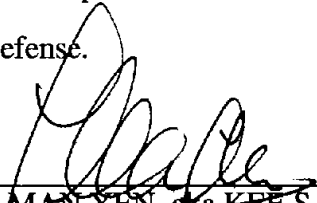
1 perjury or false statement if an answer is untrue;

2 f. That he agrees that the plea agreement is voluntary and not a result of any  
3 force, threats or promises apart from this plea agreement;

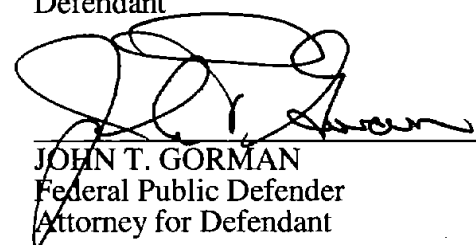
4 g. That he has had this agreement translated for him into his native language, and  
5 he fully understands it.

6 h. The defendant is satisfied with the representation of his lawyer and feels that  
7 his lawyer has done everything possible for his defense.

8  
9 DATED: APR., 8, 2005

  
YU-MAN YEN, aka KEE SANG JOFFREE  
CHEN, aka TAI FAT LEE  
Defendant

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11  
12 DATED: 4/8/05

  
JOHN T. GORMAN  
Federal Public Defender  
Attorney for Defendant

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LEONARDO M. RAPADAS  
United States Attorney  
Districts of Guam and NMI

DATED: 4-8-05

By: 

RUSSELL C. STODDARD  
First Assistant U.S. Attorney

DATED: 4/8/05

By: 

JOSEPH F. WILSON  
Criminal Chief